

### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

EPIDURAL THERMAL POSTERIOR ANNULOPLASTY

Application Number:

10/613678

Confirmation Number:

7367

First Named Applicant:

**Darrell Brett** 

Attorney Docket Number: ExpC:EptaRib

Art Unit:

3739

Search string:

( 4573448 or 5084043 or 5514130 or 5785705

or 6053909 or 6068828 or 6104957 or

6168593 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
2	- 1 ·	4573448	1986-03-04	Kambin			
an	2	5084043	1992-01-28	Hertzmann et al.			
2	3	5514130	1996-05-07	Baker			
m	4	5785705	1998-07-28	Baker			
m	5	6053909	2000-04-25	Shadduck			
m	- 6	6068828	2000-05-30	Fanton et al.			
2~	7	6104957	2000-08-15	Alo et al.			
2	8	6168593	2001-01-02	Sharkey et al.		•	

#### Remarks

Note: Remarks are not for responding to an office action.

Applicant submits herewith copies of patents and other art of which he is aware and which he desires to have considered by the Patent Office in accordance with 37 CFR. Section 1.97. In accordance with 37 CFR Section 1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits. In accordance with 37 CFR Section 1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or patents,

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Signature .

Examiner Name	Date
dans span	June 16, 2005
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( 5203353 or 5334193 or 5348554 or 5374265 or 5433739 or 5458596 or 5484432 or 5569242 or 5571147 or 5865833 or 5954716 or 6007533 or 6007570 or 6071280 or 6073051 or 6095149 or 6119041 or 6122549 or 6264650 or 6264651 or 6277112 or 6277116 or 6283960 or 6283961

or 6290699 or 6402740 or 6482204 ).pn.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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<b>5</b>	2	5334193	1994-08-02	Nardella.			
W	3	5348554	1994-09-20	Imran et al.			٠
m	4	5374265	1994-12-20	Sand.			
	5	5433739	1995-07-18	Sluijter et al.			
In	6	5458596	1995-10-17	Lax et al.			
an	7	5484432	1996-01-16	Sand.			
m	8	5569242	1996-10-29	Lax et al.			
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an	10	5865833	1999-02-02	Daikuzono.			
1	11 .	5954716	1999-09-21	Sharkey et al.			
m	12	6007533	1999-12-28	Casscells et al.	]		•
<b>%</b>	13	6007570	1999-12-28	Sharkey et al.			•
an	14	6071280	2000-06-06	Edwards et al.	]		
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bar	15	6073051	2000-06-06	Sharkey et al.
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<b>~</b>	17	6119041	2000-09-12	Pomeranz et al.
سور	18	6122549	2000-09-19	Sharkey et al.
2	19	6264650	2001-07-24	Hovda et al.
ar	20	6264651	2001-07-24	Underwood et al.
m	21	6277112	2001-08-21	Underwood et al.
and	22	6277116	2001-08-21	Utely et al.
m	23	6283960	2001-09-04	Ashley
8	24	6283961	2001-09-04	Underwood et al.
an	25	6290699	2001-09-18	Hall et al.
an	26	6402740	2002-06-11	Lax et al.
	-27	6482204	2002-11-19	Lax et al.

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## Signature 1

Examiner Name	Date
Sand day	June 16, 2005
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PTQ/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE k Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known TRAS Experience for form 1449APTO Application Number 10/613.678 Filing Date INFORMATION DISCLOSURE July 2, 2003 First Named Inventor Brett STATEMENT BY APPLICANT Art Unit Examiner Name (Use as many sheets as necessary)

Attorney Docket Number ExpC:EptaRib

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Da MM-OD-YYY			me of Patentee or ent of Cited Document		Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear	
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Initials*	No.1	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>6</sup> (		MM-DD-Y	MYY .	Applicant of Cited Document		Where Relevant Passages or Relevant Figures Appear	L
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Examiner Signature Considered June 16, 2005

"EXAMINER: Initial if reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through clidition if not in conformance and not considered, include copy of this form with next communication to applicant. 1 Applicant's unique cliation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Three will vary depending upon the individual case. Any comments on the amount of time you require to complete this form entitior suggestions for returning this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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> Title of Invention

Epidural termal posterior annuloplasty

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Search string:

(3526219 or 4191191 or 4536180 or 4735605

or 4886491 or 5419761 or 5499991 or 5569291 or 5584847 or 5690664 or 5797944 or 5810863 or 5911700 or 5980493 or 6078842 or 5778894

or 6142955 or 6152894 or 6231514 or

6503263 ).pn.

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
an	1	3526219	1970-09-01	Balamuth			
Da-	2	4191191	1980-03-04	1980-03-04 Auburn			
7	3	4536180	1985-08-20	Johnson			
2	4	4735605	1988-04-05	Swartz			
2	5	4886491	1989-12-12	Parisi et al.			
80	6	5419761	1995-05-30	Narayanan et al.			
2	7	5499991	1996-03-19	Garman et al.			
an	8	5569291	1996-10-29	Privitera et al.			
an	9	5584847	1996-12-17	Duluco et al:			
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ar	11	5797944	1998-08-25	Nobles et al.			
2	12	5810863	1998-09-22	Wolf et al. ·			
m	13	5911700	1999-06-15	Mozsary et al.			
2-	14	5980493	1999-11-09	Smith et al.			•
2	15	6078842	2000-06-20	Gross et al.			

Jul	16	5778894	1998-07-14	Dorogi et al.
3~	17	6142955	2000-11-07	Farascioni et al.
$\mathcal{M}$	18	6152894	2000-11-28	Kubler
2	19	6231514	2001-05-15	Lowe et al.
m	20 .	6503263	2003-01-07	. Adams

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## Signature

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doil shay	June 16, 2005